Instructions Rev. 06/24/2003

#### **COMMONWEALTH OF KENTUCKY**

#### Instructions for Obtaining a Kentucky State ABC License

#### **REQUIREMENTS:**

- a. You must be at least 21 years of age to apply.
- b. You must be a Ky. Resident for the past year unless you apply as a corporation, LLC or Ltd. Partnership.
- c. You must be a citizen of the US unless you apply as a corporation, LLC or Ltd. Partnership ownership.
- d. Individuals, partners, officers, directors or managers may not apply if, within the past 5 years, they have been convicted of any felony; or within the past 2 years have been convicted of any alcohol or controlled substance misdemeanor.
- STEP 1. You must advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation in the area where the premises to be licensed is located. An officer of the newspaper must complete the affidavit of publication, which is enclosed. The completed affidavit and clipping must be attached to your application.
- STEP 2. Answer all questions and have the form notarized. Incomplete or deficient applications delay processing and your application may be returned.
- STEP 3. Attach a **certified check, cashier check, or money order payable to: Kentucky State Treasurer** for your application fee(s). See the attachment to determine the appropriate amount due based on license type and premises location.
- STEP 4. Kentucky residents must submit the appropriate fee **payable to: Kentucky State Treasurer** for the Administrative Office of the Courts to obtain a statewide Kentucky police record check. The cost is \$10.00 per person and a record check must be conducted on all persons listed in the Basic Application under Section D-5. You may submit one (1) check for all backgrounds provided this check is separate from your check for licensing fees. Non-residents are responsible for providing a **statewide** police record check from their state(s) of residence for the past five (5) years. If you have not lived in Kentucky for five (5) years, you must submit a statewide police record check from the state(s) previously resided in for those years along with your fee for Kentucky background checks.
- STEP 5. If you apply as a corporation, limited partnership, or limited liability company, attach a copy of your articles of incorporation; partnership papers, or organizational papers from the Secretary of State. Your company must be approved to do business in the state of Kentucky and in good standing with the Kentucky Secretary of State's Office.
- STEP 6. If you do not own the real estate where you will sell alcohol, attach a signed copy of your lease. All lease agreements must run through the full period of your license.
- STEP 7. Contact the Kentucky Revenue Cabinet at (502) 564-3306 to obtain the proper Kentucky sales tax numbers for your business. Your license will not be issued without these numbers.
- STEP 8. Attach any other required affidavits such as: fire marshal certificate of seating capacity for restaurants; surety bonds for manufacturers and wholesalers, etc... Your Schedule(s) will list any attachments you need to make.
- STEP 9. Take your application(s) to your local ABC administrator and obtain their signature of approval on your state applications(s).

New licenses take approximately 30 – 60 days to process. If your license is not issued for any reason, you must submit a written request for a refund. The Department will retain \$50 of your application fee for processing costs.

### If you have any questions or need assistance, please contact our department or visit our web site.

http://abc.ppr.ky.gov

FRANKFORT: Department of Alcoholic Beverage Control

1003 Twilight Trail Frankfort, KY 40601-8400 (502) 564-4850 phone (502) 564-1442 fax

**NOTE:** You are required to obtain a Federal Special "Occupational Tax" License from the Alcohol and Tobacco Tax and Trade Bureau (*TTB*) for \$250 per year. You must contact their office to obtain an application form and information about your federal permit:

Alcohol and Tobacco Tax and Trade Bureau, Telephone Number (513) 684-3334
National Revenue Center

550 Main St., Cincinnati, Ohio 45202-3263

	All other applicants use this table	
COUNTY WHERE PREMISES	PAY FULL YEAR FEE	PAY HALF YEAR FEE
ARE LOCATED	For licenses issued between	For licenses issued between
Anderson	July – December	January – June
Boone	October – March	April – September
Bourbon	July – December	January – June
Boyd	July – December	January – June
Boyle	June –November	December - May
Bracken	July – December	January – June
Bullitt	February – July	August – January
Calloway	April – September	October – March
Campbell	November – April	May – October
Carroll	July – December	January – June
Christian	April – September	October - March
Clark	May – October	November – April
Daviess	February – July	August – January
Floyd	June – November	December – May
Franklin	July – December	January – June
Fulton	April – September	October – March
Gallatin	July – December	January – June
Grant	December – May	June – November
Graves	April – September	October – March
Hardin	February – July	August – January
Harlan	June – November	December – May
Harrison	June – November	December – May
Henderson	March – August	September – February
Henry	July – December	January – June
Hopkins	May – October	November – April
Jessamine	May – October	November – April
Kenton	December – May	June – November
Knox	June - November	December - May
Letcher	June - November	December - May
Lewis	July – December	January – June
Logan	May – October	November – April
Lyon	April – September	October – March
Madison	June – November	December – May
Magoffin	June – November	December – May
Marion	May – October	November – April
Mason	July – December	January – June
McCracken	April – September	October – March
Meade	February – July	August – January
Mercer	May – October	November – April
Montgomery	June – November	December – May
Muhlenberg	May – October	November - April
Nelson	May – October	November – April
Nicholas	July – December	January – June
Oldham	July – December	January – June
Pendleton	July – December	
	June – November	January – June
Perry Pike	July – November  July – December	December - May
	July – December  June – November	January – June
Pulaski		December - May
Rowan	July – December	January June
Scott	July December	January June
Shelby	July – December	January – June
Todd	May – October	November – April
Union	March – August	September – February
Warren	May – October	November - April
Washington	May – October	November – April
Whitley	June – November	December - May
Wolfe	July – December	January – June
Woodford	July – December	January - June

Fayette County (Lexington Ky.) Applicants use this table						
Fayette County Zip Code of Premises	PAY <u>FULL</u> YEAR FEE For licenses issued between	PAY <u>HALF</u> YEAR FEE For licenses issued between				
40501 to 40505	October – March	April – September				
40506 to 40509	November – April	May – October				
40510 to 41906	December – May	June - November				

Jefferson County (Louisville, Ky.) Applicants use this table						
Jefferson County Zip code of Premises	PAY <u>FULL</u> YEAR FEE For licenses issued between	PAY <u>HALF</u> YEAR FEE For licenses issued between				
40023	February – July	August – January				
40025 to 40027	March – August	September – February				
40041	June – November	December – May				
40059	March – August	September – February				
40118	April – September	October – March				
40177	April – September	October – March				
40201 to 40202	December – May	June – November				
40203 to 40204	November – April	May – October				
40205	February – July	August – January				
40206	October – March	April – September				
40207	June – November	December - May				
40208 to 40209	June – November	December – May				
40210 to 40212	April – September	October – March				
40213 to 40216	March – August	September – February				
40217 to 40218	February – July	August – January				
40219	March – August	September – February				
40220 to 40242	February – July	August – January				
40243 to 40251	March – August	September – February				
40252	March – August	September – February				
40253 to 40256	March – August	September – February				
40257	June – November	December - May				
40258	October – March	April – September				
40259	March – August	September – February				
40261 to 40266	December – May	June –November				
40268	October – March	April – September				
40269	March – August	September – February				
40270 to 40289	October – March	April – September				
40290 to 40291	November – April	May – October				
40292	June – November	December – May				
40293 to 40298	November – April	May – October				
40299	March – August	September – February				

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# EXAMPLE OF PUBLIC NOTICE WHEN APPLYING FOR AN ABC LICENSE

**KRS 243.360** requires a person to first advertise their intention to apply for these licenses in the newspaper. Please use the following to assist you with this requirement. Place your advertisement in the legal section of the newspaper having the largest circulation for the county or city where your premises will be located.

# YOUR ADVERTISEMENT SHOULD READ AS FOLLOWS: (Fill in the blanks)

			···	, Mailing address
(List the Name of each individ	ual owner(s) or the name of the	e Corporation, L	td, or L.L.C. the license will be	issued under)
			Here	by declares intention(s)
	(Include Street, City, Sta	te and Zip)		
to apply for a				license(s)
(List <u>all license types</u> )	ou are applying for. (Example)	Retail Liquor b	y the Drink, Retail Beer, Restau	ırant Liquor by the Drink,
	Retail Liquor Package, F	Restaurant Wine	by the Drink and so on)	
no later than			, The busines	s to be licensed will be
(Enter the	date you intend to make applic	ation to the Sta	te ABC)	
located at			Kent	ucky
(List the <u>EX</u>	ACT street address and city wi	here the ABC lid	ense is to be issued)	(Zip)
doing business as				
	•	-	business (D.B.A.))	
The (owner(s); Principal O	fficers and Directors; Limited		Members) are as follows:	
······································		of		
Title or position	Name		Home address, city, sta	·
,		of		<del></del>
Title or position	Name		Home address, city, sta	ate and zip code
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
		of		

Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Department of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, Ky. 40601-8400, within 30 days of the date of this legal publication. (End of advertisement)

Forward a clipping of this advertisement along with the Affidavit of Publication to:

Kentucky Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400 (502) 564-4850 phone (502) 564-1442) fax Page 2 – Advertisement Rev. 08/10/2002

# Commonwealth of Kentucky Department of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400

(502) 564-4850 phone (502) 564-1442 fax

## **AFFIDAVIT OF PUBLICATION**

<u>Attesting Publication of Intention to Engage in an</u>
<u>Alcoholic Beverage Business</u>



The following Affidavit of Publication is to be executed by an officer of the newspaper in which the application advertised, one time before date of application for an alcoholic beverage license, his/her intention to engage in the business authorized by the license applied for. A clipping of the advertisement must be attached to this Affidavit of Publication.

			of	
	(Name of Officer at Newspaper)		(City)	(State)
Being first dul	y sworn, says that he / she is			
		(Title of	Position at Paper)	
of the			_ a newspaper printed	and published in the
	(Name of Newspaper)			
State of	County of	, and h	aving a general circul	ation in the County of
Published in s	aid newspaper on the following da Signature			
Subscr	ribed and sworn to before me, a No	otary Public within a	and for the State and	County aforesaid, by
	to me personal	ly known, this	day of	(year)
My Commissio	on expires theday of			(year)
County of	No	tary Public		

THIS AFFIDAVIT PROPERLY EXECUTED MUST BE ATTACHED TO THE ABC APPLICATION FOR LICENSING.

# **LEASE AGREEMENT**

I, (We)	·
hereby agree to lease to	
the premises located at	
	,
	inCounty, Kentucky.
The said lease sha	all be for a term of,
beginning	and ending
The rent shall be payabl	e at a rate of
I understand and	agree upon, that the premises herein named shall be use
for lawful purposes only	<i>I</i> .
	Lessor X
	Lessor X
	Lassaa V
	Lessee X
	Lessee X
Subscribed and sworn to	before me, a Notary Public, on this the
day of	, 20, by the above Lessor and Lessee.
	Notary Public
My commission expires	·

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# COMMONWEALTH OF KENTUCKY DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

Site I.D. #

1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502.564.4850 phone
502.564.1442 fax

## "BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSES"

Applications may be returned if all questions are not answered completely.

License # \$	Leave Blank – Val	For ABC Use Only License #		\$		_ Val	
License # \$							
Malt Beverage Administrator's Approval					Date		
Distilled Spirits Administrator's Approval					Date		
(A) Applicant's name(s) or company to be lice				(B) 1.		umbers (must be	
DBA (Name of Business)				issue	d in the appli	cant's name). the number or	
Address of premises to be licensed				1 1	•	ne name will preve m being processe	
City County _	State	9 digit zip code				ax #	
Mailing address if different from above							
Contact person 8:00 am – 4:30 pm	e-mail addre	ss				ax #	
Contact phoneFa	xPremises	phone			•	<b></b>	
List all schedules you have attached	Enter amount of fee	enclosed \$		Fede	ral EIN #		
<ol> <li>What Month do you want your license.</li> <li>Are you the owner of the real estate of the property of the property of the property of the property.</li> <li>Are you the owner of the real estate of the property of the property of the property.</li> <li>(D) 5.</li> <li>Complete the following for the business partners, managing members, members, and the property of the property of the property.</li> </ol>	where these premises are to be li  / of your lease. ABC <i>will not</i> issudate.  mises real estate  roprietor, partner(s) and all person	censed?	business to of the owner	this lease Givensed ship.	extends thro	Yes pugh the	·
NAME AND ADDRESS	ALL PHONE NUMBERS H = HOME W = WORK F = FAX 0 = OTHER	SOCIAL SECURITY NUMBER	TITLE	USA CITIZEN	DATE OF BIRTH	LIST DATE & STATE WHERE YOU RESIDED IN PAST 5 YRS.	% OF OWNERSHIP
	H W F O			□ Yes			%
	H W F O			□ Yes			%
	H W F O			□ Yes			%

Pag	ge 2- ABC Basic- Rev. 08/10/2002	Site I.D. #						
(E)								
6.	List the State Incorporated or organized in							
7.	Attach a copy of your Articles of Incorporation, which shows the filing date with the Kentucky Secretary of State's Office.  Is the entire license fee paid by the applicant and by no other person?							
8.	Are the premises to be licensed located within an incorporated city or town?  If yes, list the name of the city or town							
9.	If yes, give the name of the state and license number(s)							
	If Kentucky, are you transferring this license to a new location?	□Yes □No						
10.	Does anyone named in section D 5 of this application have any interest in any kind of alcoholic beverage business of any alcoholic beverage business other than that for which you are herein applying?  If yes, describe the interest(s)	s or the premises  ☐Yes ☐No						
11.	<ul><li>a. Has the applicant or any person named in section D 5 been convicted of any felony?</li><li>b. Has the applicant or any person named in section D 5 been convicted of a misdemeanor directly or indirectly reaction a controlled substance?</li></ul>	□Yes □No elated to alcohol or □Yes □No						
	If yes to either question, attach a statement giving a full explanation, including date(s) of conviction(s).	□ 163 □110						
12.	Has a license been suspended or revoked or denied for the premises or any person named herein?  If yes, attach a statement giving a full explanation, including dates of suspension, revocation, or denial.	□Yes □No						
13	Are the premises to be licensed and the entrance located on the street level?	□Yes □No						
10.	If no, is the business a hotel, club or restaurant?	□Yes □No						
14.	a. Have the premises been licensed to sell alcoholic beverages in the past twelve months?	□Yes □No						
	b. Are the premises currently licensed?	□Yes □No						
	c. If yes, give the Kentucky License number (s)	<del></del>						
	d. Is the license being transferred to you?	□Yes □No						
15.	15. Are you acquiring an interest in an existing business? □Yes □No							
	If yes, check all the following boxes that apply to you.   ☐ Inventory ☐ Fixtures and Equipment							
		er						
<i>(F)</i> I (w	THE SELLER SHOULD COMPLETE THIS SECTION IF ITEM # 15 HAS BEEN ANSWERED ' OR IF SOMEONE IS TRANSFERRING THEIR LICENSE (S) TO YOU. the seller(s) or o	YES"  wwner(s) of the business known						
`	(Enter the exact name(s) that appears on the current license(s)	(1)						
as	located at	Kentucky, am the						
holo		ther) license(s). The license						
nun	nber(s) is (are) I hereby represent that I have agreed to convey a							
by l	aw) to I (we) understand that I (we) <u>may not</u> re (Enter the exact name(s) that is applying to become the new licensee)	elinquish control of the business,						
prei	(Enter the exact name(s) that is applying to become the new licensee) mises, or my interest in the licenses until such time as the buyer's application has been approved by the Departmen	nt of Alcoholic Beverage Control.						
Sig	nature of Seller Title	Date						
Swe	orn or affirmed before me on this day of, year of My Commission expires _							
(Canadian applicants are exempt from this notary requirement)								
	ary Public County of State  (Canadian applicants are exempt from this notary requirement)							
(G)	ary Public County of State (Canadian applicants are exempt from this notary requirement)							
(G) I, _ app any Alco	ary Public County of State (Canadian applicants are exempt from this notary requirement)	all statements contained in this agree that I shall not engage in license(s) by the Department of						
(G)  I, app any Alco ordi Sig	AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)    ( Buyer or New Applicant), do hereby swear or affirm that activity involving alcoholic beverages at the premises described herein until I have been issued the appropriate cholic Beverage Control. Once the license(s) is issued, I hereby swear or affirm that I well abide by all state and inances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.    Title	all statements contained in this agree that I shall not engage in license(s) by the Department of d local statutes, regulations, and Date						
(G)  I, app any Alco ordi Sig	AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)    Grandian applicants are exempt from this notary requirement)  AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)    Grandian applicant or requirement or requirement of the license of the best of my knowledge, information and belief. I further reactivity involving alcoholic beverages at the premises described herein until I have been issued the appropriate oholic Beverage Control. Once the license(s) is issued, I hereby swear or affirm that I well abide by all state and inances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.    Title	all statements contained in this agree that I shall not engage in license(s) by the Department of d local statutes, regulations, and						
(G)  I, app any Alco ordi Sig	AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)    ( Buyer or New Applicant), do hereby swear or affirm that activity involving alcoholic beverages at the premises described herein until I have been issued the appropriate cholic Beverage Control. Once the license(s) is issued, I hereby swear or affirm that I well abide by all state and inances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.    Title	all statements contained in this agree that I shall not engage in license(s) by the Department of d local statutes, regulations, and						

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## **SCHEDULE "S"** STORAGE AND WAREHOUSE LICENSES

Site ID#

LEAVE BLANK – FOR ABC USE ONLY

Lice	ense #		_\$	Validating #	License #	\$	Validating # _	
Dist	tilled Spiri	ts Administrato	or's Signatu	re of Approval			Date	
Mal	t Beverag	ge Administrato	r's Signatu	re of Approval			Date	
	Applica	nt's name(s)	or comp	any to be licensed				
	D.B.A.	(Name of B	usiness)					
	Address	of premises	to be lic	ensed				
1.					Warehouse License?			☐ Yes ☐ No
	If yes, I	list the purpos	se you ha	ve for this license.	application & license for e			
	KRS 24	43.350 and 8	04 KAR 4	1040 require a separate	application & license for e	ach storage location.		
2.	Are you	u applying for	a <b>Bonde</b>	d Warehouse License	to store distilled spirits?			□ Yes □ No
	If yes, i	under 804 KA	R 4:200 a	are you a distiller who ha	as suspended manufacturi	ing, but continues to	store	
	distilled	d spirits?						□ Yes □ No
_								_ v _ v
3.					Beer Storage License?			☐ Yes ☐ No
					a Kentucky retail beer licer and			
					ani			
4.					ense? a Kentucky Beer Distributo			⊔ Yes ⊔ No
					and the location			
5.	Are you	u applying for	a <b>Malt B</b>	everage Warehouse Li	cense?			□ Yes □ No
					Kentucky Out-Of-State Bre			
	If yes, I	list your Kent	ucky State	ABC License Number.				_·
	And the	e location of y	our brew	ery premises				
kno not	wledge, in begin to o	nformation and operate with alo	belief. I ir cohol activi	corporate this schedule into ty until the Kentucky ABC [	d in this application and all att o my basic application for a K Department has issued my lic nufacture, sale, use of and tra	Kentucky alcoholic beve ense(s). I further swea	rage license. I und Ir or affirm I shall al	derstand I may
Sig	gnature	of Applica	nt		T	itle	Date	·
			OB	TAIN LOCAL ARC ADM	MINISTRATOR'S SIGNAT	TURE OF APPROVA	NL	
			trator mu	st approve this application	on before it is forwarded to	the State ABC. Tak	ke or mail this ap	
					eir signature of approval b	below or make arran	gements for this	approval to be
				in Frankfort, Kentucky.	ad have been approved	for the types of lie	eoneoe annlied	for and for the
This certifies that the applicant(s) herein above named have been approved for the types of licenses applied for and for the premises above specified.								
SIG	NATUR	E OF APPRO	OVAL OF	LOCAL ABC ADMINIS	TRATOR		DATE	
	City of _			Administ	trator or the □ County of	f		_Administrator
					cation all attachments an			

Commonwealth of Kentucky Department of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400 Telephone (502) 564-4850 Fax (502) 564-1442

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## TYPES OF LICENSES & FEES

Site ID#

To determine the ABC license fee, find the license type(s) in the left column, and then move right across the table to the month that the license will become effective.

Attach a certified check, cashier check, or a money order for your license fees.

Make payable to: KENTUCKY STATE TREASURER

LICENSE TYPE	PREFIX	>	FULL YEAR FEE Pay this amount	HALF YEAR FEE Pay this amount
☐ SPECIAL OFF PREMISES RETAIL BEER STORAGE	BS		100.00	50.00
☐ BEER DISTRIBUTOR STORAGE	DS		250.00	125.00
☐ MALT BEVERAGE WAREHOUSE (for brewers)	MBS		1,000.00	500.00
☐ STORAGE WAREHOUSE OR BOTTLING HOUSE STORAGE (liquor and wine)	SW		500.00	250.00
☐ BONDED WAREHOUSE (for distillers who have suspended manufacturing, but continue to store distilled spirits and wine.)	BW		1,000.00	500.00
TOTALS				

KRS 243.360 requires an applicant to <u>first advertise</u> their intention to apply for these licenses in the newspaper. Please use the attached example to assist your with this requirement. (If you are currently licensed and only adding a supplemental liquor bar or Sunday license to your premises you are not required to run this new advertisement.)

Place your advertisement in the <u>legal section</u> of the newspaper having the <u>largest circulation</u> for the county or city where your premises will be located.

After your advertisement has appeared in the paper, obtain a clipping from the paper and attach the Affidavit of Publication to your ABC application. The <u>Affidavit of Publication</u> is enclosed and should be completed by an official of the newspaper where the advertisement appeared.

	<u>CHECK LIST</u>	
1.	Have you answered each question fully and checked the types of licenses you are applying for?	□ Yes □ No
2.	Have you attached a certified check, cashier check or money order, payable to:	
	Kentucky State Treasurer for your license fees and a <u>separate check</u> for your Kentucky background checks?	☐ Yes ☐ No
3.	Have you attached a certified copy of your newspaper advertisement for this License(s)?	□ Yes □ No
4.	Have you attached articles of incorporation, partnership papers, or other Organizational papers?	□ Yes □ No
5.	Have you attached a signed copy of your lease that does not expire before your license?	☐ Yes ☐ No
6.	Have you secured the signature of approval from your local ABC Administrator on this application?	□ Yes □ No